

A. CLIENT IDENTIFICATION				
*Full Name of Account Holder				*SIN
*Address				
*City	*Province	*Postal Code	Telephone Number – Home	Telephone Number – Business

<p>*NBDB Client Account Number</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<p>*NBDB Account Currency:</p> <p><input type="checkbox"/> CAD <input type="checkbox"/> USD</p>	
<div style="background-color: red; color: white; border-radius: 50%; width: 40px; height: 40px; display: flex; align-items: center; justify-content: center; margin: 0 auto;">2</div>		
<p>*NBDB Registered Account Type <u>(check one box only and applies to both account numbers above-mentioned, if applicable):</u></p>		
<p><input type="checkbox"/> RRSP</p> <p><input type="checkbox"/> LIRA (Legislation: _____)</p> <p><input type="checkbox"/> LIF (Legislation: _____)</p>	<p><input type="checkbox"/> Spousal RRSP</p> <p><input type="checkbox"/> Locked-In RRSP (Legislation: FED)</p> <p><input type="checkbox"/> Locked-In RRIF (Legislation: FED)</p>	<p><input type="checkbox"/> RRIF</p> <p><input type="checkbox"/> RLSP (Federal Restricted)</p> <p><input type="checkbox"/> RLIF (Federal Restricted)</p>
		<p><input type="checkbox"/> Spousal RRIF</p> <p><input type="checkbox"/> FHSA</p> <p><input type="checkbox"/> Other: _____</p>
<p><input type="checkbox"/> TFSA</p> <p><input type="checkbox"/> PRIF</p>		

3

***Transfer (check one box only):**

☐ Full in Kind (as is / no sell)

☐ Full in Cash** (all assets will be sold)

☐ Full Mix** (please indicate below assets to sell and assets to transfer as is)

☐ Partial** (please indicate below assets to transfer)

☐ List Attached**

In Kind (as is)	In Cash** (asset to sell)	Cash Balance or GIC at Maturity (no sell)	Security Symbol and/or Policy or Certificate Number	Investment Description	GIC Maturity Date (YYYY MM DD)	Full Asset	Partial Asset (please complete one box)	
							Amount	Quantity
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				<input type="checkbox"/>		
Balance of the account (if applicable) :			<input type="checkbox"/> In Kind (as is) or <input type="checkbox"/> In Cash** (asset to sell)					

Special Feature of Certain Institutions

- > For all institutions, GICs are non-transferable.

Wealthsimple

- > Please pay particular attention to the note on fractional shares*.
- > FHSA is transferable in cash only.

Tangerine

- > The institution accepts scanned document, but requires a handwritten signature.

FTQ

- > The institution does not allow transfers in kind. The FTQ redemption form is required. In addition, for clients under age 65, proof of retirement is required.

***Special Feature for Fractional Shares**

Before requesting a transfer, fractional shares must be liquidated or left with the ceding institution (if applicable).

1

Client Identification

- > Complete all fields.
Social Insurance Number (SIN) is mandatory.

2

Account Type

- > Enter your NBDB account number and check the currency.
- > **Check only one** of the account types.

3

Transfer

- > **Check one box only.**
If you check:
- > **"Full in Kind" box**
Do not add details.
- > **"Full in Cash" box**
Do not add details.
Note: Sales will be made to the selling broker.
- > **"Full Mix" box**
Full transfer, including part of the assets to be sold and the balance of the assets to be retained. Complete the table to specify your request.
- > **"Partial" box**
Complete the table to specify your request.

4

Signature

- > The **account holder's signature** is mandatory, and the **date** must be entered.
- > A form signed electronically using Adobe software is also accepted.
- > The document is valid for 6 months.

Guaranteed Signature (for Head Office use only)

4

VOID

X

*Signature of Account Holder

*Date (YYYY MM DD)

Sending the Form

- > **It is important** to send the transfer request via the secure **Message Centre** of the online brokerage platform.
- > Attach a copy of the most recent statement from the ceding institution (if available).