

**1**

Account No. \_\_\_\_\_ First and last name of the account holder (in block letters) \_\_\_\_\_ Social Insurance No. \_\_\_\_\_  
 First and last name of the co-holder, if applicable (in block letters) \_\_\_\_\_ Social Insurance No. \_\_\_\_\_

**CHOOSING THE TYPES OF OPTIONS YOU PLAN TO TRADE**  
 Indicate the type of options you plan to trade (check one box below). Each type includes those listed before it.  
 Subject to approval of R.O.P.

**2**

Purchase of options  
 Covered call writing  
 Options strategies  
 Uncovered options writing

Do you have experience with Options?  Yes  No If so, indicate the number of years: \_\_\_\_\_ Year(s)

How would you rate your knowledge in options?  None or little  Average  Good  Excellent

**3**

Financial information of the account holder:

Annual income	Net liquid assets (a)	Net fixed assets (b)	Total net worth (a + b)
_____	_____	_____	0.00

Financial information of the account co-holder:

Annual income	Net liquid assets (a)	Net fixed assets (b)	Total net worth (a + b)
_____	_____	_____	0.00

**4**

Following a regulation of the Montreal Exchange (MX), we are required to identify all beneficial owners with more than 50% interest in the account. By interest, we mean participation, control or influence.

There is no beneficial owner with more than 50% interest  
 If the beneficial owner of more than 50% is an individual, please submit the social insurance number (SIN) of the individual: \_\_\_\_\_  
 If the beneficial owner of more than 50% is a corporation, please submit its registration number: \_\_\_\_\_  
 If the beneficial owner of more than 50% is any other type of entity (Trust, Estate etc.), please submit its complete legal name as shown on the relevant documents creating the said entity: \_\_\_\_\_

**YOUR AGREEMENT**  
 By signing below, you acknowledge and agree that:

- You have received, read and understood the terms and conditions of the Option Trading Agreement and the provisions of this agreement and agree to be bound by it. This Agreement, the provisions contained in the client file you have completed, the general account agreement and specific agreements related to accounts you have selected represent our agreement with you;
- You read, understood and agree to the "Derivatives Risk Disclosure Statement" relating to your options trading accounts contained in this agreement;
- In the event of conflict between the general terms and conditions in these documents and in this Options Trading Agreement, or with the brokerage account opening form, the Options Trading Agreement will prevail.

**LANGUAGE CONFIRMATIONS**  
 (Quebec only – as of June 1st, 2023) - I confirm having received a French version of this agreement available [here](#). I also confirm it is my wish that this agreement and all related documents be drawn up in English.  
 (Québec seulement – à partir du 1er juin 2023) - Je confirme avoir reçu la version française de ce contrat disponible [ici](#). Je confirme également ma volonté que ce contrat et tous les documents s'y rattachant soient rédigés en anglais.

**5**

Date (MM DD YYYY)  Signature of the account holder \_\_\_\_\_ Date (MM DD YYYY)  Signature of the account co-holder (if applicable) \_\_\_\_\_

**1**

**Identification**

- > Identify the **holder** and co-holder and mandatorily enter the **account number** OR the social insurance number.

**2**

**Type of negotiated options**

- > Check the appropriate box or boxes:
  - **Choice 1 & 2:** for cash, margin or registered accounts.
  - **Choice 3 & 4:** for margin accounts only.

**3**

**Your experience**

- > **All questions in this section** must be completed by holder and co-holder.

**4**

**Beneficial Owner +50%**

- > Identify if a person has a participation of **more than 50%** in this account.

**5**

**Signature & Sending Form**

- > The **signature(s)** and the **date** are mandatory.
- > An electronic signature via Adobe software is accepted.
- > **It is important** to send the completed form vis secure **Message Centre** of the online brokerage platform.